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TMI-109
2193

In re Patent Application of:

Y. SHIBUSAWA et al.

Confirmation No. 7759

Serial No. 10/004,825

Group Art Unit: 2193

Filed: December 7, 2001

Examiner: T.J. Roche

For: PRINTER AND CONTROL PROGRAM PRODUCT THEREFOR

Customer No.: 24956

INFORMATION DISCLOSURE STATEMENT (IDS)
UNDER § 1.97 AND § 1.98

MAIL STOP: AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

02/01/2007 HMARZI1 00000041 10004825

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180.00 0P

3. Listing of the information submitted is on the attached Form(s) PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed for each foreign patent, for each publication or portion thereof that caused it to be listed, and for each pending unpublished U.S. application or portion thereof that caused it to be listed. No copy of a U.S. patent or U.S. patent application publication is needed unless required by the PTO. A copy of a document is also not needed when previously submitted or previously cited by the PTO in a prior application to which the present application claims a benefit under 35 U.S.C. § 120.

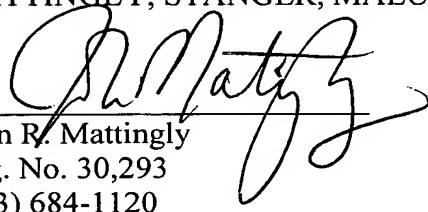
4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

5. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

By 
John R. Mattingly
Reg. No. 30,293
(703) 684-1120

JRM/so

Date: January 31, 2007

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
TMI-109SERIAL NO.
10/004,825**LIST OF DOCUMENTS CITED BY APPLICANT**
(Use several sheets if necessary)APPLICANT
Y. SHIBUSAWA et al.FILING DATE
December 7, 2001GROUP
2193**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	60-251450	12/12/1985	Japan (Abstract)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	AM	11-053175	02/26/1999	Japan (Abstract)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	AN	11-003297	01/06/1999	Japan (Abstract)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	AO	08-190529	07/23/1996	Japan (Abstract)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
	AT		

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



219.35

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2005**

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$)		180.00		Attorney Docket No.		TMI-109																																																																																											
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The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																																																																	
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<table border="1" style="width:100%"><thead><tr><th>Large Fee Code</th><th>Large Fee (\$)</th><th>Small Fee Code</th><th>Small Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1011</td><td>300</td><td>2011</td><td>150</td><td>Utility filing fee</td><td></td></tr><tr><td>1012</td><td>200</td><td>2012</td><td>105</td><td>Design filing fee</td><td></td></tr><tr><td>1013</td><td>200</td><td>2013</td><td>100</td><td>Plant filing fee</td><td></td></tr><tr><td>1014</td><td>300</td><td>2014</td><td>150</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>200</td><td>2005</td><td>100</td><td>Provisional filing fee</td><td></td></tr><tr><td>1111</td><td>500</td><td>2111</td><td>250</td><td>Utility Search fee</td><td></td></tr><tr><td>1112</td><td>100</td><td>2112</td><td>50</td><td>Design Search fee</td><td></td></tr><tr><td>1113</td><td>300</td><td>2113</td><td>150</td><td>Plant Search fee</td><td></td></tr><tr><td>1114</td><td>500</td><td>2114</td><td>250</td><td>Reissue Search fee</td><td></td></tr><tr><td>1311</td><td>200</td><td>2311</td><td>100</td><td>Utility Ex. fee</td><td></td></tr><tr><td>1312</td><td>130</td><td>2312</td><td>65</td><td>Design Ex. fee</td><td></td></tr><tr><td>1313</td><td>160</td><td>2313</td><td>80</td><td>Plant Ex. fee</td><td></td></tr><tr><td>1314</td><td>600</td><td>2314</td><td>300</td><td>Reissue Ex. fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>0.00</td></tr></tbody></table>				Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid	1011	300	2011	150	Utility filing fee		1012	200	2012	105	Design filing fee		1013	200	2013	100	Plant filing fee		1014	300	2014	150	Reissue filing fee		1005	200	2005	100	Provisional filing fee		1111	500	2111	250	Utility Search fee		1112	100	2112	50	Design Search fee		1113	300	2113	150	Plant Search fee		1114	500	2114	250	Reissue Search fee		1311	200	2311	100	Utility Ex. fee		1312	130	2312	65	Design Ex. fee		1313	160	2313	80	Plant Ex. fee		1314	600	2314	300	Reissue Ex. fee		SUBTOTAL (1)					0.00				
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type) John R. Mattingly		Registration No. (Attorney/Agent) 30,293		Telephone (703) 684-1120	
Signature		Date January 31, 2007			

This collection of information is required by 37 CFR 1.17 and 1.171. Information is required to obtain or retain a benefit by the public which is required by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on this information should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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